

Receipt

Attorney Docket No.: I01.074

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willner et al.



Group Art Unit: 2151

Application No.: 09/917,328

Examiner: Not Yet Assigned

Filed: July 27, 2001

Attorney Docket No.: I01.074

Title: METHOD AND APPARATUS FOR
IDENTIFYING PRIVACY LEVELS

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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SEP 25 2001
Technology Center 2100

Sir:

Applicants respectfully request that Filing Receipt of the above-identified patent application be corrected as follows:

1. The Attorney Docket Number is corrected to be I01.074.

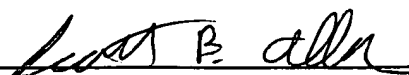
The requested changes have been indicated in red on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal (Large Entity) filed with the original patent application, which clearly indicates the correct Docket Number. Please issue a corrected Filing Receipt in due course.

Respectfully submitted,

September 10, 2001

Date



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Please use a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/917,328
	Filing Date	July 27, 2001
	First Named Inventor	Willner et al.
	Group Art Unit	2161
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	I01.074

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Corrected Filing Receipt - Docket Number

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Scott B. Allison
Signature	<i>Scott B. Allison</i>
Date	September 10, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 09/10/01			
Type or printed name	Edith Martin		
Signature	<i>Edith Martin</i>	Date	September 10, 2001

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/917,328	07/27/2001	2161	1650	YOR920010589US1	11	50	8

28062

 BUCKLEY, MASCHOFF & TALWALKAR, & ALLISON LLC
 111 ELM STREET
 NEW CANAAN, CT 06840


5/6 101-074

CONFIRMATION NO. 1243

FILING RECEIPT



OC000000006523066

Date Mailed: 09/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Barry E. Willner, Briarcliff Manor, NY;
 David P. Greene, Ossining, NY;
 Edith H. Stern, Yorktown Heights, NY;
 Philip Shi-lung Yu, Chappaqua, NY;

Buckley, Maschoff, Talwalkar & Allison LLC

Date Received: 9-10-01

Matter No: 101-074

Items Docketed: Filing Receipt

Initials: JA

Accy:

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/06/2001

Projected Publication Date: 01/30/2003

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for identifying privacy levels

Preliminary Class

705

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Data entry by : GENET, YODIT

Team : OIPE

Date: 09/06/2001

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WILLNER et al.

Serial No.: Not yet assigned

Filing Date: Filed herewith

For: METHOD AND APPARATUS FOR
IDENTIFYING PRIVACY LEVELS

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Attorney Docket No.: I01.074 ←

CERTIFICATE OF MAILING UNDER 37 CFR
1.10I hereby certify that this correspondence is being
deposited with the United States Postal Service
via Express Mail in an envelope addressed to: Box
Patent Application, Commissioner for Patents,
Washington, D.C. 20231, on July 27, 2001.Dated: July 27, 2001 By: *Jill Doherty*

Jill Doherty

Box PATENT APPLICATIONCommissioner for Patents
Washington, D.C. 20231**UTILITY PATENT APPLICATION TRANSMITTAL**
(Large Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility
patent application for an invention entitled: **METHOD AND APPARATUS FOR**
IDENTIFYING PRIVACY LEVELS

and invented by: **WILLNER et al.**

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 49 pages and including the following:
 - a. ☒ Descriptive Title of the Invention

- b. ☐ Cross references to Related Applications (*if applicable*)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development
 - d. ☐ Reference to Microfiche Appendix (*if applicable*)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (*if drawings filed*)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
- a. ☒ Formal Number of Sheets 11
 - b. ☐ Informal Number of Sheets
4. ☒ Oath or Declaration
- a. ☒ Newly executed (*original or copy*) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - c. ☒ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the Prior Application,
see 37. CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or
declaration is supplied under Box 4b, is considered as being part of the disclosure of
the accompanying application and is hereby incorporated by reference therein.
6. ☒ Assignment Papers (*cover sheet & documents(s)*)
7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
8. ☐ English Translation Document (*if applicable*)
9. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ Acknowledgement Postcard
12. ☒ Mailed Via
- ☐ First Class ☒ Express Mail (*Specify Label No.*) **ET030248215US**
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
15. ☒ Additional Enclosures: Appointment of Associate Power of Attorney



FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	50	- 20	30	\$ 18.00	\$ 540.00
Indep. Claims	8	- 3	5	\$ 80.00	400.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)					\$ 0.00
BASIC FEE					\$ 710.00
OTHER FEE (specify purpose): Assignment Recordation					\$ 40.00
TOTAL FILING FEE					\$1,690.00

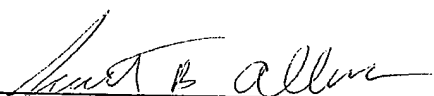
The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Charge the amount of **\$1,690.00** as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

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SEP 25 2001
Technology Center 2100

Respectfully submitted,

July 27, 2001
Date



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